

Systematic Investment Plan through ECS (Auto Debit)

Investor Status: New* Existing (Please tick as application)

I/We hereby apply to Paterson Securities (P) Ltd. for a Systematic Investment Plan (SIP) through ECS Direct Debit under the following Service and agree to abide by the terms, conditions, rules and regulations of the SIP through ECS mentioned overleaf as on the date of this investment.

Name of Sole/First Account Holder				
Client Code (for existing investors)				
(*New Investors are required to complete and submit the required account opening forms; mentioned in point no. 1 overleaf)				
SIP Details				
Service: SIP into	SIP Amount per installment	Investment Frequency	SIP Valid From	SIP Valid to
<input type="checkbox"/> Gold ETFs	₹	<input type="checkbox"/> Weekly or <input type="checkbox"/> Monthly	(dd/mm/yyyy)	(dd/mm/yyyy)
<input type="checkbox"/> Other ETFs	₹	Monthly	(dd/mm/yyyy)	(dd/mm/yyyy)
<input type="checkbox"/> Equity	₹	Monthly	(dd/mm/yyyy)	(dd/mm/yyyy)
<input type="checkbox"/> Mutual Funds	₹	Monthly	(dd/mm/yyyy)	(dd/mm/yyyy)
Total Investment Amount	₹	Date:	(Currently only 10 th is available)	
Please tick as applicable. For investment frequency, minimum investment period and SIP amount, please refer to point no. 16 overleaf				

I/We authorize Paterson Securities (P) Ltd. or their authorized service providers to Debit my/our account listed below by ECS (Electronic Clearing Service) for collection of SIP payments and confirm that the funds invested belong to me/us. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

Bank Name				Mandatory Enclosures:		
Branch Name				<input type="checkbox"/> Cancelled cheque or photocopy of cheque, duly signed by applicant		
Address				<input type="checkbox"/> First SIP via cheque		
City				Cheque No.	Date	Amount (₹)
Account Number						
9 Digit MICR code						
Account Type						
(Please specify SB/CA/CC/NRE/NRO, etc.)						

I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete information, I/We will not hold Paterson Securities (P) Ltd. responsible. I/We further undertake that any changes in my/our Bank details will be informed to the Company immediately. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We have read and understood the contents of the service document wherein the Systematic Investment Plan is obtained.

Signature

1st / Sole Applicant		Banker's Attestation Certified that the signature of account holder and the details of Bank account are correct as per our records
2nd Applicant		
3rd Applicant		
**Bank attestation mandatory if copy of cheque is not enclosed or for payable at par cheques		Signature of Authorised Official from Bank (Bank Stamp & Date)

Authorisation of the Bank Account Holder

This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my/our payment towards my/our investment in Paterson Securities (P) Ltd. shall be made from my/our below mentioned Bank Account with your bank. I/We authorize the representative carrying this ECS mandate form to get it verified & executed.

Signature

Bank Account Number

1st / Sole Applicant			
2nd Applicant		3rd Applicant	

Acknowledgement Slip for SIP through ECS (to be filled by Investor)

Investor's Name		Paterson Securities (P) Ltd. / Authorised Centre Signature & Stamp	
Client Code			
Service			
SIP Amount (₹)	SIP Frequency: Monthly		

Terms and Conditions

SIP payment through Electronic Clearing Services:

1. New investors who wish to enroll for SIP through ECS should also fill up the Trading and Demat Application forms in addition to this form.
2. Existing investors must provide their Account number and need not fill the forms mentioned in point no. 1.
3. For further details of this service, like minimum amount, risk factors, etc., investors should, before investment, refer to the Product Note(s) issued till date, available free of cost at any of the branches / offices or distributors or from the website www.paterson.co.in
4. The SIP through ECS Form, Trading and Demat Application forms (in case of new investors), along with the necessary cheque or copy thereof should be submitted at least 15 days in advance of the date of the first ECS debit transaction.
5. The bank branch provided for ECS should participate in the local MICR clearing. The investor shall inform his/her Banker about the ECS mandate and Paterson Securities (P) Ltd. will not be liable for any transaction failures due to rejection by the investor's bank/branch.
6. SIP through ECS is presently available only on the 10th of the month. If any other date is mentioned, 10th date will be automatically fixed. In case these dates are non business days for the service, then SIP will be processed for the next business day's NAV related price or the Scrip current market price at the time of purchase.
7. The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI)
8. Investor will not hold Paterson Securities (P) Ltd. and its service providers responsible if a transaction is delayed or not effected by the investor's Bank or if debited in advance or after the specified SIP date due to various reasons.
9. Paterson Securities (P) Ltd. reserves the right to reverse allotments in case an ECS debit is rejected by the bank for any reason whatsoever.
10. Paterson Securities (P) Ltd. shall not be responsible and liable for any damages/compensation for any loss/damages, etc. incurred by the investor. The investor assumes the entire risk of using the ECS facility and takes full responsibility for the same.
11. Paterson Securities (P) Ltd. reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
12. Paterson Securities (P) Ltd. reserves the right to discontinue the SIP in case of suspension of direct debit through ECS or in case a direct debit through ECS is rejected by the investor's bank for any reason.
13. Paterson Securities (P) Ltd. reserves the right to reject any application without assigning any reason thereof.
14. If each SIP amount is >= to ₹ 50,000 then please furnish your PAN details and enclose a photocopy of PAN Card/Pan Allotment Letter/Tax refund of all applicants. In case of minor applicant, please furnish the PAN details & PAN proof of the guardian. In case you do not have a PAN, please furnish your declaration in FORM 60.
15. Charges such as Bank ECS charge, brokerage and DP charges would be debited to the client as and when incurred. Other statutory charges, taxes would be billed on actuals.
16. Minimum investments:#

Asset Class	Investment Frequency	SIP Service Model	Min. no. of monthly SIP installments	Min. initial subscription amount
Gold ETF	Weekly	Approx. 2 units per week*	12	₹25,000/- p.m.
	Monthly	Approx. 4 units per month*	12	₹10,000/- p.m.
Equity	Monthly	Select stocks to be purchased based on prevailing market price at the time of purchase	12	₹10,000/- p.m.
Mutual Funds / Other ETFs	Monthly	Select MFs / Other ETFs to be purchased based on the prevailing NAV at the time of purchase	12	₹10,000/- p.m.

Are subject to changes at short notice. For details visit our website or contact any of our branches / offices.

*Units to be bought at the prevailing Gold ETF prices at the time of purchase.

NOTE: 1 unit of Gold ETF is equivalent to approximately 1 gram of gold.

For any queries, please contact our offices on the following numbers:

MARKETING OFFICE: CHENNAI: 044-42916500

HEAD OFFICE: CHENNAI: 044-25341170 / 25342700 / 25341413

BRANCHES:

CHENNAI: ADYAR: 044-42054320 / 42054104 | **ANNA NAGAR:** 044-42172800/ 42172900/ 42103686 | **NSC BOSE ROAD:** 044 - 42166468 / 42621050 | **KODAMBAKKAM:** 044-42916550 / 51 / 52

ARUPPUKOTTAI: 04566-221299 | **BANGALORE:** 080-23624062 / 41735111 / 41320028 | **BHADRAVATHI:** 08282262408 |

CHIKMAGALUR: 08262-229676 | **COIMBATORE:** 0422-4514240 / 4514241 / 4514242 | **HYDERABAD:** 040-66366251 /52/53/54 |

HUBLI: 9035966256 | **KARAIKUDI:** 04565-400109 | **KARUR:** 04324230401 | **MADURAI:** 0452-2349992 / 4246768 | **MANGALORE:**

0824-4264161 / 2221406 | **MUMBAI:** 022-22721675 / 65214442 | **PONDICHERRY:** 0413-4200858 / 4209663 | **SALEM:** 0427-4042995

| **SIVAKASI:** 04562-278223 | **THANJAVUR:** 04362-230930 | **TRICHY:** 0431-4000104 | **VELLORE:** 0416-4204066 | **VIRUDHUNAGAR:**

04562-420676 | **PUNE:** 020-30116620 / 21